

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	9864018
<b>Application Number:</b>	10785415
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	8364
<b>Title of Invention:</b>	Systems and methods for issuing securities on tax-exempt bonds based on a single trust
<b>First Named Inventor/Applicant Name:</b>	Clayton A. Davis
<b>Customer Number:</b>	22852
<b>Filer:</b>	Nathan A. Sloan/Gwendolyn Ramsey
<b>Filer Authorized By:</b>	Nathan A. Sloan
<b>Attorney Docket Number:</b>	5997.0036
<b>Receipt Date:</b>	12-APR-2011
<b>Filing Date:</b>	25-FEB-2004
<b>Time Stamp:</b>	16:34:38
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1		05997_0036_Reply.pdf	396615 255cb369881984110f98403b7a975913c60 88faa	yes	13

<b>Multipart Description/PDF files in .zip description</b>			
<b>Document Description</b>	<b>Start</b>	<b>End</b>	
Amendment/Req. Reconsideration-After Non-Final Reject	1	1	
Claims	2	8	
Applicant Arguments/Remarks Made in an Amendment	9	13	

**Warnings:**

**Information:**

<b>Total Files Size (in bytes):</b>	396615
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**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**